

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON

ELIZABETH BREWER, )  
Plaintiff, ) No. CV-05-6140-HU  
v. )  
JO ANNE B. BARNHART, )  
Commissioner of Social ) FINDINGS & RECOMMENDATION  
Security, )  
Defendant. )

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1 - FINDINGS & RECOMMENDATION

1 HUBEL, Magistrate Judge:

2 Plaintiff Elizabeth Brewer brings this action for judicial  
3 review of the Commissioner's final decision to deny disability  
4 insurance benefits (DIB) and supplemental security income (SSI).  
5 This Court has jurisdiction under 42 U.S.C. §§ 405(g). I recommend  
6 that the Commissioner's final decision be affirmed.

7 PROCEDURAL BACKGROUND

8 Plaintiff applied for DIB on October 9, 2002, alleging an  
9 onset date of September 18, 1997. Tr. 69-73. She was insured for  
10 DIB through December 31, 1997. Tr. 79. Her applications were  
11 denied initially and on reconsideration. Tr. 47-49, 55-57.

12 On February 4, 2004, plaintiff, represented by counsel,  
13 appeared for a hearing before an Administrative Law Judge (ALJ).  
14 Tr. 491-526. On April 29, 2004, the ALJ found plaintiff not  
15 disabled. Tr. 13-30. The Appeals Council denied plaintiff's  
16 request for review of the ALJ's decision. Tr. 5-8.

17 FACTUAL BACKGROUND

18 Plaintiff alleges disability based on osteoarthritis,  
19 rheumatoid arthritis, fibromyalgia, diverticulosis, chronic pain,  
20 chronic fatigue, lumbar pain, painful varicosities, dizzy spells,  
21 and loss of balance. Tr. 82. At the time of the February 5, 2004  
22 hearing, plaintiff was forty-seven years old. Tr. 495. She has an  
23 Associate's Degree in computer systems. Tr. 513. Her past  
24 relevant work is as case manager and teacher aide. Tr. 521.

25 I. Medical Evidence

26 Plaintiff has had several treating physicians over the years,  
27 some overlapping during certain time periods. I recite here the  
28

1 medical evidence relevant to the issues raised in this appeal.<sup>1</sup>

2 Dr. Marvin Sakakihara of the Permanente Medical Group in Napa,  
 3 California, was plaintiff's treating physician for a number of  
 4 years preceding 1995. Tr. 316. In March 1995, he stated in a  
 5 letter written "To Whom it May Concern," that plaintiff had several  
 6 chronic medical problems that could affect her work. Id. He cited  
 7 chronic low back pain, fibromyositis, and painful varicosities and  
 8 leg symptoms "related to this." Id. He recommended various  
 9 accommodations such as a chair with good lumbar support and arm  
 10 support to help her chronic lumbar pain and fibromyalgia. Id. He  
 11 noted that other accommodations should include wrist supports and  
 12 foot and leg support. Id. He indicated that he would contact the  
 13 Occupational Medicine Department for information on designing work  
 14 spaces for persons with chronic problems such as plaintiff. Id.

15 In August 1995, Dr. Sakakihara again wrote a "To Whom it May  
 16 Concern" letter which recited plaintiff's problems as fibromyositis  
 17 and bilateral greater trochanteric bursitis, along with lumbar pain  
 18 and painful lower leg varicosities. Tr. 324. He opined that her  
 19 combination of problems made it difficult for her to sit for long  
 20 periods of time. Id. According to Dr. Sakakihara, plaintiff  
 21 reported that she had been able to manage one-day conferences of  
 22 five or six hours, although that amount of sitting could cause a  
 23 flare up of her symptoms. Id. He requested that any activities or  
 24 conferences which required long hours of sitting be limited to one

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25  
 26 <sup>1</sup> As noted below, plaintiff contends that the ALJ failed to  
 27 give clear and convincing reasons for rejecting her testimony and  
 28 the testimony of a lay witness and further erred by rejecting the  
 opinions of her treating physicians Dr. Marvin Sakakihara and Dr.  
 Kash Siepert, and examining physician Dr. James Morris.

1 day or less. Id.

2 In May 1997, Dr. Sakakihara wrote plaintiff a letter  
3 permanently excusing her from jury duty because of "medical  
4 problems." Tr. 315. Later that month, he listed approximately one  
5 dozen medications to which plaintiff had allergies. Tr. 325.

6 In December 1996, and then again in July 1997, plaintiff was  
7 also seen by two podiatrists with the Permanente Medical Group.  
8 Tr. 174, 172, 168. On December 5, 1996, Dr. Gordon Sinclair,  
9 D.P.M., noted her complaint of heel pain and pain along the course  
10 of the posterior tibial tendon. Tr. 174. He recommended that she  
11 get comfortable orthotics, stretch her Achilles tendon, and use a  
12 removable cast. Id. On December 17, 1996, Dr. Mark Kunkel, D.P.M.  
13 saw plaintiff to review her orthotics. Tr. 172.

14 On July 9, 1997, plaintiff saw Dr. Kunkel for a second time  
15 and repeated her complaint of heel pain. Tr. 166. She asked for,  
16 and received, a walker. Id. X-rays taken on that date revealed  
17 plantar spurs bilaterally, minimally to moderately severe. Tr.  
18 190. There was adjacent fascial calcification on the left, but not  
19 on the right. Id. There was also "spurring, dorsum of the left  
20 tarsal junction." Id.

21 Plaintiff moved from California to Oregon sometime between  
22 June 15, 1998 (her last record with Permanente Medical Group in  
23 Napa), and December 6, 1999, when she started treating with the  
24 Valley Medical Group in Roseburg. On December 6, 1999, plaintiff  
25 initially saw Dr. Layne S. Jorgensen, D.O., to establish care. Tr.  
26 403, 397. Her subjective complaints at that time were a history of  
27 fibromyalgia and chronic pain syndrome, history of frequent,  
28 recurrent upper respiratory infections, a history of asthma, and a

1 complaint of chronic foot pain. Tr. 403.

2 On this December 1999 visit, plaintiff reported taking 10  
3 milligrams of Flexeril at bedtime as needed, for the fibromyalgia,  
4 and 10 milligrams of Valium on days when she experienced severe  
5 symptoms. Id. On physical examination, Dr. Jorgensen noted that  
6 plaintiff had tenderness to palpation to the cervical spine and  
7 "trigger points noted." Tr. 397. Dr. Jorgensen did not, however,  
8 note the number of trigger points, nor their location. Id.

9 Although plaintiff established care with Valley Medical Group  
10 in December 1999, and continued as a patient there until at least  
11 May 2002, she started treating with Dr. Gary Wheeler, M.D.,  
12 sometime in 2000. Tr. 260. It is unclear exactly when plaintiff  
13 began to see Dr. Wheeler. The first chart note of any significance  
14 is dated August 21, 2000, and refers to plaintiff returning to Dr.  
15 Wheeler for a follow-up of her fibromyalgia. Id. The August 21,  
16 2000 note clearly suggests plaintiff had previously seen Dr.  
17 Wheeler, despite the absence of any chart note substantiating such  
18 a visit.

19 In a Patient's Personal History questionnaire completed by  
20 plaintiff for Dr. Wheeler, the date of her first appointment is  
21 left blank. Tr. 263. In response to the questions posed there,  
22 however, plaintiff wrote that she did most of the housework and  
23 shopping. Tr. 266. She described herself as being able to  
24 function "OK" most of the time. Id. She indicated that she  
25 usually has trouble climbing and descending stairs, and usually had  
26 problems with sleep. Id.

27 In his August 21, 2000 chart note, Dr. Wheeler noted that  
28 plaintiff complained of aches and pains all over, from the neck

1 down. Tr. 260. On neuromuscular examination, he found diffuse  
2 trigger point tenderness typical of fibromyalgia without synovitis,  
3 thickening, effusion, or subcutaneous nodules. Id. He noted that  
4 plaintiff had good range of motion in all her joints. Id. He  
5 stated that the neurologic examination showed "no focal deficits or  
6 pathologic reflexes except for a moderate amount of chronic pain  
7 behavior." Id. He prescribed two milligrams of Xanaflex in place  
8 of Flexeril for the fibromyalgia. Id.

9 In October 2000, even though plaintiff was already a patient  
10 of Dr. Wheeler's and Valley Medical Group, she went to Sutherlin  
11 Family Medicine as a new patient. She was initially seen on  
12 October 4, 2000. Tr. 195. The chart note from that visit is hard  
13 to read, but the clinician, who apparently is a Physician's  
14 Assistant, appears to have diagnosed her with sinusitus and  
15 fibromyalgia, although there is no discernable reference to any  
16 kind of trigger point examination. Id. At a January 23, 2001  
17 visit with D. Daniels, PAC of Sutherlin Family Medicine, plaintiff  
18 requested medication to take during exacerbations of her  
19 fibromyalgia pain. Tr. 192. She also reported at that time,  
20 however, that she rarely has to use any thing for such pain, taking  
21 something only once or twice per month. Id. Daniels prescribed  
22 Darovet-N for her to take as needed for pain. Id.

23 In February 2001, plaintiff was hospitalized for several days  
24 for a gastrointestinal illness. Tr. 196-213. The chart note  
25 summarizing her hospital admission and discharge indicates that she  
26 presented to the emergency room complaining of an acute onset of  
27 nausea and vomiting which began after she had eaten lunch while  
28 volunteering at the mall. Tr. 198. Her discharge diagnosis was

1 acute gastroenteritis. Id. Irritable bowel syndrome was also  
2 noted. Id.

3 Although plaintiff initially established care at Valley  
4 Medical Group with Dr. Jorgensen, it appears that beginning in  
5 March 2001, she regularly saw Dr. Sarah Agsten, D.O. at that  
6 practice. Tr. 396, 404. Although Dr. Agsten noted plaintiff's  
7 history of fibromyalgia, arthritis, and asthma, there is no  
8 indication that Dr. Agsten herself performed any trigger point  
9 examination. Tr. 396. Dr. Agsten made no assessment of  
10 fibromyalgia at this time. Tr. 396, 404. She noted that plaintiff  
11 took Advil for fibromyalgia and reported that she was tired, but  
12 was in no acute distress. Id.

13 Plaintiff saw Dr. Agsten again in April 2001 and complained of  
14 hurting all over and joint pain, ongoing for years. Tr. 394. Dr.  
15 Agsten noted that plaintiff's recent laboratory test results showed  
16 "weakly positive for the rheumatoid factor[.]" Id. Plaintiff  
17 reported that she had recently started taking Ambien for insomnia  
18 and that it "works wonders." Id. She reported taking no pain  
19 medication at that time for her arthralgia and myalgia. Id. Dr.  
20 Agsten reported that plaintiff was in no acute distress upon  
21 physical examination. Id. She assessed plaintiff as having  
22 fibromyalgia with a weakly positive rheumatoid factor, asthma, and  
23 insomnia. Id. She started plaintiff on Celebrex and continued her  
24 on Ambien. Id.

25 In May 2001, plaintiff was admitted to the hospital for  
26  
27  
28

1 vomiting blood and a history of hematochezia<sup>2</sup> the previous  
2 February. Tr. 215. An endoscopy and abdominal CT scan were  
3 negative. Tr. 388. An upper gastrointestinal x-ray series with  
4 small bowel follow-through was also negative. Id. A tentative  
5 diagnosis of irritable bowel syndrome was made, although no  
6 medications were started. Id. At her May 17, 2001 post-discharge  
7 visit with Dr. Agsten, Dr. Agsten prescribed Vioxx for plaintiff's  
8 arthralgia. Id.

9 Plaintiff next saw Dr. Agsten in September 2001 when she  
10 complained about left armpit and left elbow pain, as well as  
11 painful varicose veins, which she reported were becoming enlarged.  
12 Tr. 381. Dr. Agsten's assessment noted irritable bowel disorder,  
13 recent episode of dizziness possibly related to plaintiff's  
14 allergies, varicose veins in her right lower extremities, and  
15 gastroesophageal reflux. *Id.* No mention was made of plaintiff's  
16 fibromyalgia. *Id.* She recommended warm compresses for the left  
17 armpit pain. *Id.*

18 Plaintiff then saw Dr. Wheeler again in late September 2001.  
19 Tr. 259. He noted that she returned for follow up of her  
20 fibromyalgia and pain in her left arm. *Id.* He reported that since  
21 she was last seen, she has "done fairly well." *Id.* She had  
22 resumed taking Flexeril. *Id.* She also used occasional Tylenol and  
23 25 milligrams of Vioxx per day. *Id.* He noted that she used an arm  
24 band above and below her elbow. *Id.*

25 Dr. Wheeler's objective examination revealed that plaintiff's

<sup>2</sup> Hematochezia is the passage of stool containing blood.  
Taber's Cyclopedic Med. Dict. 637 (14th ed. 1981)

1 joints showed no evidence of active synovitis, although she had  
2 localized tenderness at the lateral epicondyle at the left elbow,  
3 and trigger point tenderness across the upper trapezius. Id. He  
4 found good range of motion of her joints. Id. He recommended that  
5 she temporarily increase the Vioxx to 50 milligrams per day for  
6 three days, then return to the 25 milligrams per day dose. Id. He  
7 further recommended that she continue to use the arm band below the  
8 elbow and if she showed no improvement over the next two weeks, he  
9 might consider a local injection. Id.

10 Dr. Wheeler next saw plaintiff on November 21, 2001, to follow  
11 up on her left elbow pain. Tr. 259. He reported that plaintiff  
12 continued to be "symptomatic from the fibromyalgia" but he referred  
13 to no specific symptoms. Id. He again reported, on objective  
14 examination, that plaintiff had good range of motion, even though  
15 she continued to have trigger point tenderness due to the  
16 fibromyalgia. Id.

17 On January 9, 2002, Disability Determination Services (DDS)  
18 physician Dr. Martin Kehrli, M.D., issued a functional capacity  
19 assessment of plaintiff opining that she could occasionally lift  
20 twenty pounds, frequently lift ten ponds, stand or walk six hours  
21 in an eight-hour day, sit for six hours in an eight-hour day, and  
22 that she had unlimited ability to push or pull. Tr. 244. Due to  
23 her asthma, Dr. Kehrli indicated that plaintiff should avoid all  
24 exposure to fumes, odors, dusts, gases, and areas with poor  
25 ventilation. Id.

26 In February 2002, plaintiff returned to Dr. Wheeler for  
27 follow-up of her fibromyalgia. Tr. 258. He noted that plaintiff  
28 reported continuing wide spread pain at "the left elbow as well as

1 diffusely including the left foot and ankle." Id. Nonetheless,  
 2 she continued to use only aspirin or Advil for the pain and had "no  
 3 other constitutional symptoms or symptoms suggestive of active  
 4 inflammatory synovitis or other neurologic diseases." Id.

5 On physical neuromuscular examination, Dr. Wheeler remarked  
 6 that plaintiff continued "to demonstrate a moderate amount chronic  
 7 pain behavior that is very sensitive even with just range of motion  
 8 resting at the elbows." Id. He found diffuse tenderness,  
 9 including tender points in plaintiff's upper back. Id. He found  
 10 no synovitis, thickening, effusions, or subcutaneous nodules. Id.  
 11 He also noted that the neurologic exam did not show focal deficits  
 12 or pathologic reflexes except for an absent right patellar reflex.  
 13 Id. Dr. Wheeler noted that the "[p]hysical findings are  
 14 disproportionate to the level of pain[,] which I understand to  
 15 suggest that plaintiff's claimed level of pain was not supported by  
 16 the physical findings. Id.

17 Plaintiff appears to have changed primary care providers again  
 18 in May 2002 when she apparently began treating with Dr. Diane  
 19 Bolduc, M.D. Tr. 379. In her initial visit on May 30, 2002,  
 20 plaintiff complained of shakiness of her hands several times per  
 21 day over the last month and told Dr. Bolduc that she had been  
 22 diagnosed with hypoglycemia at age 13. Id. Dr. Bolduc noted that  
 23 plaintiff's past medical history included allergic rhinitis,  
 24 asthma, and a lower GI bleed. Id. There is no mention of  
 25 fibromyalgia or joint pain. Her noted medications do not include  
 26 any pain or anti-inflammatory medications.

27 On physical examination, Dr. Bolduc noted that plaintiff was  
 28 in no acute distress. Id. She assessed plaintiff as having

1 probable reactive hypoglycemia and hyperlipidemia. Id.

2 On August 22, 2002, DDS physician Linda Jensen, M.D., issued  
3 another functional capacity assessment for plaintiff, opining that  
4 plaintiff could occasionally lift twenty pounds, frequently lift  
5 ten pounds, stand or walk about six hours in an eight-hour day, sit  
6 about six hours in an eight-hour day, and that plaintiff had the  
7 unlimited ability to push or pull. Tr. 306. Dr. Jensen found no  
8 other limitations present. Id. She concluded that plaintiff had  
9 a "light" residual functional capacity. Id.

10 On September 16, 2002, Dr. Bolduc saw plaintiff to follow up  
11 on a complaint of blood in her urine. Tr. 370. Although Dr.  
12 Bolduc noted fibromyalgia in the "impression" section of her chart  
13 note, there is no recitation of any physical examination supporting  
14 her diagnosis. Id. It appears that plaintiff requested Effexor to  
15 take for the condition. Id. Dr. Bolduc gave her a prescription  
16 for it. Id.

17 In October 2002, Dr. Sakakihara, who had not been plaintiff's  
18 treating physician since she moved to Oregon and who last saw her  
19 in August 1997, wrote another "To Whom it May Concern" letter. Tr.  
20 313. In this letter, Dr. Sakakihara summarized some of the medical  
21 problems that plaintiff was experiencing when he was her physician.  
22 Id. He noted that then, she suffered from fibromyalgia which had  
23 been officially diagnosed in 1994, although "she had these symptoms  
24 for many years." Id. He stated that the symptoms associated with  
25 plaintiff's fibromyalgia when he saw her included shoulder, upper  
26 and lower back pain, hand pain, chronic fatigue, and memory  
27 problems. Id.

28 Next, Dr. Sakakihara noted plaintiff's chronic foot pain,

1 including a left plantar fascia release and left heel spur revision  
2 on May 13, 1993. Id. He noted that she continued to have  
3 bilateral foot pain. Id. Third, he noted her chronic venous  
4 insufficiency, at least since 1988, which caused both lower  
5 extremity swelling and leg and foot pain. Id. As a result of  
6 these conditions, she suffered from chronic pain and poor balance.  
7 Id. Finally, he listed obesity, asthma and chronic sinusitis,  
8 chronic sleep disorder, and irritable bowel syndrome as her other  
9 medical problems. Id.

10 Dr. Sakakihara explained that during the last several years  
11 before she left his care, plaintiff required a special chair,  
12 footrest, and headset at work. Id. He recited that during the  
13 latter part of 1996 and through 1997, she was becoming increasingly  
14 unable to continue with her work, which at that time was as a case  
15 manager for welfare clients. Id. Dr. Sakakihara also noted that  
16 at some point during the time when she was his patient, plaintiff  
17 required the use of a cane and "Moon" boots. Tr. 314. He  
18 concluded that her medical problems led to her inability to  
19 continue any full-time work when he last saw her in August 1997.  
20 Id.

21 Plaintiff next saw Dr. Bolduc in November 2002, when she  
22 complained of left index finger pain. Tr. 367. An x-ray revealed  
23 no acute fracture or dislocation. Id. Plaintiff also reported  
24 that she stopped taking Effexor after four weeks. Tr. 364. Dr.  
25 Bolduc did not note fibromyalgia in the "impressions" section of  
26 this chart note. Id.

27 During the time plaintiff was under Dr. Bolduc's care, she had  
28 a colonoscopy and upper endoscopy performed by gastroenterologist

1 Dr. Gerald Engstrom, M.D., on January 8, 2003. Tr. 334-35. Dr.  
2 Engstrom's assessment was of a history of reflux symptomatology,  
3 but with a healthy appearing esophagus, minimal type B gastritis,  
4 minimal left colon diverticulosis, and small caliber polyp disease.  
5 Id. Based on the tests, Dr. Engstrom recommended that she take  
6 cholestryamine each morning, and Levbid three times per day, plus  
7 careful dietary adherence to small meals, no snacking, no late  
8 meals, with a high fiber, low fat diet, and a regular exercise  
9 regimen. Id.

10 During 2003, plaintiff continued to see Dr. Bolduc for a  
11 variety of complaints. In January 2003, she saw her to follow-up  
12 on Dr. Engstrom's test results. Tr. 362. At that time, Dr. Bolduc  
13 listed fibromyalgia, along with irritable bowel syndrome,  
14 hypertryglyceridemia, and obesity as "impressions." Id. In May  
15 2003, plaintiff complained of muscle spasms "all body," stated that  
16 she was sleeping only a few hours per night, had had no help from  
17 Flexeril, and wanted to try Zanaflex. Tr. 357. Dr. Bolduc  
18 prescribed both Lexapro and Zanaflex. Id.

19 Within a few months, however, it appears that plaintiff was no  
20 longer taking those medications. Tr. 432. In an August 28, 2003  
21 visit to podiatrist Dr. Kash Siepert, D.P.M., she reported taking  
22 Prilosec, Vioxx, Syrtex, Flonase, Pavabid, Ventolin, and Ambien,  
23 but not Lexapro or Zanaflex. Id. Plaintiff saw Dr. Siepert  
24 because of bilateral foot pain, mostly in her heel, and more  
25 intense on the left rather than the right. Id.

26 Dr. Siepert's objective findings included a moderately  
27 pronated subtalar joint of the left heel, hypermobility of the  
28 subtalar joint and the midtarsal joint, and significant calcaneal

1 valgus with a flexible forefoot varus. Id. X-rays showed a  
2 decrease in calcaneal inclination angle which correlated directly  
3 with her pronated foot. Id. She had spurring on both heels. Id.  
4 Dr. Siepert also noted plaintiff's generalized pain localized along  
5 the plantar fascial tissue, bilaterally, which extended through the  
6 entire fascial band in the central band area, as well as the medial  
7 calcaneal tubercle areas. Id. It was fairly intense on the left  
8 and right foot. Id.

9 Dr. Siepert assessed plaintiff as having a long-term history  
10 of bilateral plantar fasciitis, a history of bilateral calcaneal  
11 heel spur syndrome, and a history of bilateral fibromyalgia. Id.  
12 Dr. Siepert explained that there were no new treatment options for  
13 plaintiff. Tr. 433. In discussing pain management, plaintiff  
14 reported that Vioxx was quite helpful. Id. Dr. Siepert discussed  
15 the possibility of a topical treatment. Id.

16 Plaintiff saw Dr. Bolduc on September 11, 2003, a couple of  
17 weeks after seeing Dr. Siepert, following a urine sample test  
18 showing arsenic in her urine, which was taken at an urgent care  
19 clinic where plaintiff had gone to complain of persistent spasms in  
20 her legs and loss of balance. Tr. 354-55. She also complained  
21 about neck and back pain from what she described as being  
22 fibromyalgia. Id. She requested an evaluation by a pain clinic.  
23 Id. Although plaintiff did not report taking Lexapro or Zanaflex  
24 to Dr. Siepert, Dr. Bolduc noted that she was taking 2 milligrams  
25 of Zanaflex without relief, and that she took 10 milligrams of  
Lexapro each day with partial help. Id.

27 Dr. Bolduc assessed plaintiff as suffering from fibromyalgia  
28 with chronic symptoms and referred her to a pain clinic for

1 evaluation and treatment. Id. She also stressed the need to lose  
2 weight to help with all of her problems. Id.

3 As a result of her complaint of loss of balance and memory  
4 loss, plaintiff was evaluated by neurologist Dr. Jerry Boggs, M.D.  
5 on November 4, 2003. Tr. 427-29. As relevant to her fibromyalgia,  
6 osteoarthritis, or other joint or muscle disorders, the only  
7 medications plaintiff reported to Dr. Boggs were Vioxx and Ambien.  
8 Tr. 427. On physical and neurological examination, Dr. Boggs's  
9 only notable finding was that plaintiff walked with a limp because  
10 of bilateral foot pain and "orthopedic difficulties" with the left  
11 knee. Tr. 428.

12 Dr. Boggs remarked that the etiology of plaintiff's problems  
13 with frequent falls was not the result of a neurologic problem.  
14 Id. There were no objective signs of a myopathy or neuropathy and  
15 she was not myelopathic. Id. He also found that she performed  
16 normally on the mental status examination. Tr. 429. He noted that  
17 the most common cause of memory loss in plaintiff's age group is  
18 underlying mental health issues. Id. He prescribed a low dose of  
19 Valium for plaintiff's muscle cramps because of plaintiff's stated  
20 inability to afford quinine. Id. He did not recommend any further  
21 neurologic testing at the time. Id.

22 The last chart note from Dr. Bolduc in the administrative  
23 record is dated November 6, 2003. Tr. 348. There, Dr. Bolduc  
24 noted that plaintiff's fibromyalgia was stable. Id.

25 On January 19, 2004, Dr. Siepert wrote a letter to DDS  
26 regarding plaintiff's limitations. Tr. 431. Dr. Siepert first  
27 described what plaintiff reported of her medical history and  
28 symptoms during her single visit to Dr. Siepert on August 28, 2003.

1   Id. Dr. Siepert then opined that plaintiff was limited in her  
2 abilities to stand and walk to no more than 2-3 hours. Id. Dr.  
3 Siepert found no limitations in sitting and handling objects and  
4 noted that plaintiff should be able to hear, speak, and travel  
5 well. Id. Dr. Siepert further found that plaintiff performed  
6 mental activities normally and was able to understand and sustain  
7 concentration, as well as socially interact. Id. Finally, Dr.  
8 Siepert opined that plaintiff could lift objects of five pounds or  
9 less. Id.

10       Plaintiff was examined by pain specialist Dr. James R. Morris,  
11 M.D., on January 22, 2004. Tr. 317-23. Plaintiff reported to Dr.  
12 Morris that she has experienced pain for twenty years, and in the  
13 following locations: neck, both shoulders, both arms, both wrists  
14 and hands, abdomen, pelvis, genitals, all areas of the back,  
15 buttocks, both hips, both legs, both knees, both feet, and both  
16 ankles. Tr. 317-18. She described her pain as 7 on a 10 point  
17 scale, with 10 being the worst possible pain. Tr. 318. She  
18 described her worst pain in the previous week as a 10 on that  
19 scale. Id. Plaintiff told Dr. Morris that she believed the cause  
20 of her pain to be fibromyalgia, sleep problems, and foot surgery.  
21 Tr. 319. She reported taking Advil sometimes, twenty-five  
22 milligrams of Vioxx once per day, and rarely Phenergan with  
23 Codeine. Id.

24       On physical examination, Dr. Morris found 18 out of 18 tender  
25 points positive to approximately four kilograms of pressure. Tr.  
26 320. Control points test was negative. Id. However, although he  
27 found tender points present in her shoulders, back, and lower and  
28 upper extremities, he also noted that her range of motion in all of

1 those areas was full. Id. He also noted that she had "[s]ome pain  
2 behavior." Id.

3 He diagnosed her as having fibromyalgia and probable  
4 osteoarthritis. Tr. 321. He indicated that she was a candidate  
5 for multidisciplinary treatment of her chronic intractable pain  
6 problem, including physical therapy, psychological evaluation,  
7 exercise, nutritional approaches, medical management, and  
8 alternative approaches to pain therapy. Id. He noted that her  
9 medical problem was complicated by multiple medication  
10 sensitivities. Id. He also noted that she might try Lexapro and  
11 noted that other drugs may be useful if she could tolerate them.  
12 Id. Dr. Morris also noted that various alternative approaches  
13 might be useful, including acupuncture, use of a TENS unit, or  
14 homeopathy. Id. Finally, Dr. Morris opined that plaintiff's  
15 condition was medically disabling and that plaintiff had been  
16 completely disabled for five or six years. Id.

17 II. Plaintiff's Testimony

18 Plaintiff testified that she had not worked since September  
19 1997 because of increased pain associated with her fibromyalgia and  
20 chronic fatigue, and the numerous other medical problems "that go  
21 with the FM." Tr. 496-97. She resigned because of chronic pain at  
22 work despite the use of "special equipment." Tr. 499. She stated  
23 that she suffered from chronic sinus problems, irritable bowel  
24 syndrome, and irritable bladder syndrome. Tr. 497. She also noted  
25 problems with concentration, sleeping, trouble standing and  
26 walking, losing her balance, dropping things, and trouble with  
27 vertigo. Id. She stated that although she had been dropping  
28 things since 1995, her doctor could not explain it. Id.

1       She remarked on pain in her hips during the hearing, from her  
2 buttocks to her knees. Tr. 497-98. She also reported back pain,  
3 which she described as a combination of a burning with a tearing  
4 feeling. Tr. 498. She experiences ankle swelling upon sitting too  
5 long and has a "bad foot." Id. She experiences bad swelling in  
6 her right leg and has had problems for years with varicose veins.  
7 Id.

8       Plaintiff testified that she had suffered from fibromyalgia  
9 for a long time, but that in 1995, she was sick a lot and it began  
10 to get "really bad" at work. Tr. 500. The special equipment she  
11 used included a foot riser, a computer riser for her monitor, a  
12 headset instead of a traditional phone receiver, custom made wrist  
13 splints, and an orthopedic chair. Id. Nonetheless, she described  
14 the pain as continuing. Id. At the time, she was taking Flexeril  
15 and Valium. Id. She missed work because she was tired or sore.  
16 Tr. 505.

17       In addition to the fibromyalgia, plaintiff testified that she  
18 had arthritis in her hands, hips, knees, feet, and back. Tr. 502-  
19 03. Although plaintiff once thought she might be able to work in  
20 computers, even with her medical problems, her attempt failed  
21 because she cannot type for more than one hour without experiencing  
22 discomfort with both sitting and writing. Tr. 507. She complained  
23 of always being tired and of her chronic "bathroom problems." Id.

24       Plaintiff stated that since she left employment in 1997, her  
25 symptoms had deteriorated. Tr. 508. She stated that she could  
26 hardly walk at all, that she was back in her "moon boot" as a  
27 result of her foot tendon problem, that she was tired all the time  
28 yet slept less than she used to, and that her bladder problem and

1 irritable bowel were also worse. Tr. 509.

2       In describing her daily activities at the end of 1997,  
3 plaintiff stated that after getting up and having a cup of coffee,  
4 she let her dogs out and would start dishes by putting them in the  
5 sink. Id. She might go get dressed, brush her teeth, and attempt  
6 to do something around the house, but she might have to stop. Id.  
7 She would plan dinner, putter around the house, try to pay a bill,  
8 and then have lunch with her husband. Id. She would try to do  
9 something else in the afternoon, or finish something she had  
10 started earlier, but in between everything she rested. Id. She  
11 and her husband would have dinner together, watch television for a  
12 couple of hours, then go to bed. Id.

13       She did not have any hobbies at that time. Tr. 510. She used  
14 to read a lot, but stated that she cannot concentrate to finish a  
15 book. Id. She also used to engage in photography, but stated that  
16 she cannot do that any longer because her hands cramp and she drops  
17 her camera. Id. Because the testimony regarding her inability to  
18 concentrate and her hand cramping was phrased in the present tense,  
19 meaning at the time of the hearing, it does not appear that  
20 plaintiff was suggesting that those impairments existed at the end  
21 of 1997.

22       At the time of the hearing, she was able to do some gardening.  
23 Tr. 511. Her husband built raised beds and she uses a scooter to  
24 get around in the garden. Id. She has three dogs, three guinea  
25 pigs, and two rabbits. Id. Plaintiff's husband helps in the care  
26 of the animals. Id.

27       Plaintiff testified that she would like to work, but could not  
28 be considered reliable because she cannot manage to do "three or

1 four hours of any type of time." Tr. 511. She has days when she  
 2 feels okay and then is "down and out" for two or three days, or  
 3 even two or three weeks. *Id.* She noted that she has periods of  
 4 time when she can hardly move off the couch. *Id.* Plaintiff  
 5 testified that the fluctuating periods of feeling good and bad  
 6 existed in 1997. Tr. 512.

7 III. Lay Witness Testimony

8 Plaintiff's husband Harold Schwesinger testified at the  
 9 hearing. Tr. 514. Schwesinger married plaintiff in September  
 10 1997. Tr. 515, 516. In response to a question asking him to  
 11 describe the kinds of things plaintiff could do around the house,  
 12 Schwesinger testified that plaintiff can physically do just about  
 13 everything, but she cannot do anything for very long. Tr. 515. As  
 14 an example, he noted that it takes plaintiff all day to do the  
 15 dishes because she experiences muscle cramps and pain. *Id.*

16 Schwesinger stated that he does at least half of the  
 17 housecleaning. *Id.* Although plaintiff washes the dishes, he puts  
 18 them away. *Id.* He sets the table and puts food away. *Id.* He  
 19 does half the vacuuming. *Id.* He does all the major dusting. *Id.*  
 20 Although plaintiff is able to get dirty clothes into the washing  
 21 machine, Schwesinger puts them in the dryer, folds them, and puts  
 22 them away. Tr. 515-16. Plaintiff does most the cooking and  
 23 Schwesinger accompanies her for any "heavy-duty" shopping. Tr.  
 24 516.

25 Schwesinger stated that since they were married, plaintiff has  
 26 gotten worse although he also noted that there has not been much  
 27 difference from when they were first married. Tr. 517. He  
 28 mentioned that during their honeymoon, he pushed plaintiff around

1 in a wheelchair, although he did not explain why. Id. However, he  
 2 also testified that shopping was not a problem when they were first  
 3 married. Tr. 518. And, even though plaintiff sometimes would use  
 4 a wheelchair for activities such as going to a fair, she did not  
 5 require a wheelchair the first year they went to the fair. Id.  
 6 Although, he explained, she was "laid up for weeks after that."  
 7 Tr. 518-19. He concluded that all the things that plaintiff  
 8 experiences now are "just a little worse." Id.

9 Schwesinger testified that at the time of the hearing,  
 10 plaintiff used a cane about half the time. Tr. 518. He noted that  
 11 she experiences flare-ups during which she will use her cane. Id.  
 12 Schwesinger concluded his testimony by noting that plaintiff's  
 13 condition makes planning hard. Tr. 519. He gave as an example  
 14 that plaintiff will often ask him what he wants for dinner and  
 15 then, when dinnertime comes, "it's fend for yourself night." Id.

#### 16 IV. Vocational Expert Testimony

17 Vocational Expert (VE) Nancy Bloom testified at the hearing.  
 18 Tr. 520. She stated that plaintiff's past relevant work was as a  
 19 case manager and teacher's aide. Tr. 521.

20 The ALJ posed a hypothetical with the following limitations:  
 21 a forty-seven year old person with a high school education and a  
 22 college education and past relevant work equivalent to that  
 23 possessed by plaintiff, who could occasionally lift twenty pounds,  
 24 frequently lift ten pounds, and who needed to avoid all exposure to  
 25 fumes, dust, gases, and odors. Id. The VE explained that such a  
 26 person could perform plaintiff's past relevant work. Id.

27 The VE further testified that other jobs in the national or  
 28 regional economy that such a person could perform included small

products assembler, electronics worker, and laundry folder. Tr. 521-22.

The ALJ posed a second hypothetical which included the same education, past relevant work, and limitations, but added the need for the person to rest at least once per day for thirty to sixty minutes and the need to miss three to four days of work per month. Tr. 522. The VE testified that the additional limitations would preclude employment. *Id.*

## THE ALJ'S DECISION

10 The ALJ first noted that plaintiff was insured for disability  
11 benefits only through December 31, 1997, and therefore, to be found  
12 eligible for disability benefits, she had to establish that she  
13 became unable to work on or before that date, and that such  
14 disability has persisted to the present, or lasted for a closed  
15 period of at least twelve continuous months. Tr. 16.

16 The ALJ found that plaintiff had not engaged in substantial  
17 gainful activity since her September 18, 1997 alleged onset date.  
18 Tr. 18, 29. The ALJ then determined that plaintiff suffered from  
19 severe impairments of asthma, obesity, and fibromyalgia. *Id.*  
20 However, he found that none of plaintiff's impairments, or  
21 combination of impairments, met or equaled a listed impairment.  
22 *Id.*

23 Next, the ALJ determined plaintiff's residual functional  
24 capacity (RFC). Tr. 18. The ALJ concluded that at all times since  
25 the alleged onset date, plaintiff remained able to lift twenty  
26 pounds at a time, and up to ten pounds frequently during a normal  
27 eight-hour workday. Tr. 18, 29. He further concluded that  
28 plaintiff could sit and stand for prolonged periods of time with

1 normal breaks and a lunch period. Id. He found that she could use  
2 her upper and lower extremities for pushing and pulling, and could  
3 grasp, turn, and hold objects. Id. He determined that she could  
4 stoop up to one-third of the time during the average workday. Id.  
5 He found that she needed to avoid exposure to noxious fumes, dust,  
6 odors, and temperature extremes. Id.

7 In making this RFC determination, the ALJ noted that the  
8 medical record provided little or no substantiation for many of  
9 plaintiff's alleged symptoms and impairments, and showed that those  
10 with which she may be credited caused far less limitation than she  
11 asserted. Tr. 18. The ALJ found little contemporaneous medical  
12 documentation regarding the specific period for which plaintiff was  
13 seeking to establish disability (September 18, 1997, through  
14 December 31, 1997). Tr. 19.

15 The ALJ concluded that the medical record showed that only  
16 obesity, fibromyalgia, and asthma have had more than minimal  
17 functional effect on plaintiff for more than twelve continuous  
18 months. Tr. 23. He explained that the record further shows that  
19 plaintiff's documented impairments were adequately addressed in her  
20 RFC. Id.

21 The ALJ expressly rejected Dr. Siepert's limitations of  
22 standing and walking only a few hours per day. Tr. 24. In support  
23 of the rejection, the ALJ noted that Dr. Siepert had seen plaintiff  
24 only once and had accepted plaintiff's subjective history of  
25 continuing foot difficulties, which the ALJ found inconsistent with  
26 the overall evidence. Id. The ALJ further noted that Dr. Siepert  
27 did not describe any significant signs and findings beyond  
28 plaintiff's subjective reports of pain and tenderness. Id.

1       Although the ALJ accepted plaintiff's diagnosis of  
2 fibromyalgia, he determined that her allegations of functional loss  
3 were unsupported by signs or findings of impairments which could  
4 reasonably be expected to produce her symptoms. Tr. 24-25. For  
5 example, he noted that her frequent complaint of joint symptoms was  
6 at odds with a medical record which continuously found her range of  
7 motion intact in all joints with no signs of synovitis. Tr. 24.  
8 (citing medical records of Dr. Wheeler and Dr. Morris). The ALJ  
9 further noted her complaint of frequently dropping things which was  
10 inconsistent with a medical record showing no joint abnormalities  
11 in her hands, no osteoarthritic or rheumatoid symptoms there, no  
12 evidence of any neurologic abnormality, and no evidence of any  
13 carpal tunnel syndrome. Tr. 25. The ALJ also noted plaintiff's  
14 report of a long-standing problem with falls which had no medical  
15 explanation and which was not suggested by the medical record to be  
16 a long-standing or frequent problem. Id.

17       The ALJ noted that Dr. Wheeler, who was familiar with  
18 plaintiff's diagnoses of fibromyalgia, nonetheless concluded that  
19 plaintiff exhibited pain behavior and had a subjective pain level  
20 out of proportion to the physical findings. Id. The ALJ noted  
21 that plaintiff ceased treatment with Dr. Wheeler after he made  
22 these statements. Id. And, the ALJ noted, when plaintiff saw Dr.  
23 Wheeler in September 2001, she reported to him that she had done  
24 fairly well since August 2000. Id.

25       In discounting the functional limitations caused by the  
26 fibromyalgia, the ALJ also noted that plaintiff's overall treatment  
27 for musculoskeletal pain had not been consistent with severe and  
28 disruptive symptoms. Id. For example, he noted that she reported

1 experiencing pain on a level of 10 out of 10 yet she did not  
2 present to an emergency room for relief as would be expected. Tr.  
3 25-26. With pain routinely at a 7 out of 10, the ALJ would have  
4 expected to see a record of frequent medical appointments to  
5 address her pain complaints. Id. Instead, the ALJ noted, her  
6 specific follow-up for fibromyalgia symptoms had not been  
7 particularly intensive. Tr. 26.

8 The ALJ noted that several treating physicians, including Dr.  
9 Agsten, Dr. Bolduc, and Dr. Engstrom, repeatedly reported plaintiff  
10 as being in no acute distress upon physical examination. Id. The  
11 ALJ reasoned that the severity of symptoms as alleged by plaintiff  
12 could not help but produce acute distress. Id. He explained  
13 further that even if musculoskeletal complaints were not the focus  
14 of treatment at the time, it would be expected that such severe  
15 symptoms would be commented on by her examining and treating  
16 physicians. Id. Rather, he noted, there was barely a comment that  
17 plaintiff was uncomfortable and plaintiff's own description of her  
18 medical history usually referred only to fibromyalgia as an  
19 element, with no indication that it was causing current symptoms  
20 (as of the time of her doctor appointments) of whatever extent.  
21 Id.

22 The ALJ expressly discounted Dr. Sakakihara's 2002 opinion  
23 that plaintiff could not perform full-time work. Tr. 27. The ALJ  
24 noted that although Dr. Sakakihara had been plaintiff's treating  
25 physician at one time, he lacked her subsequent medical history  
26 which, according to the ALJ, called into question the reliability  
27 of her reports of symptoms. Id. The ALJ also explained that many  
28 of the impairments cited by Dr. Sakakihara, such as venous

1 insufficiency, were not found to be significant in the following  
2 years, while others, such as falls, were not medically documented.  
3 Id. Because Dr. Sakakihara was overly dependent on plaintiff's  
4 subjective descriptions of symptoms, his disability opinion was not  
5 reliable. Id.

6 The ALJ also expressly rejected Dr. Morris's opinion that  
7 plaintiff had been totally disabled for the five or six years  
8 preceding his January 2004 examination, that is, since 1998 or  
9 1999. Id. First, the ALJ noted that Dr. Morris rendered his  
10 opinion after examining plaintiff only once and had not had the  
11 treating relationship which might have allowed him to evaluate  
12 plaintiff's subjective symptoms. Id. Thus, he accepted  
13 plaintiff's report at face value, causing him to make a total  
14 disability conclusion after an examination which was negative  
15 except for the presence in 2004 of fibromyalgia trigger points.  
16 Id. The ALJ noted that while Dr. Morris does refer to  
17 osteoarthritis, neither his examination, nor others in the record  
18 show that plaintiff has the disorder. Id.

19 The ALJ found Dr. Morris's conclusions to be inconsistent with  
20 those of treating physician Dr. Wheeler, and with those of DDS  
21 physician Dr. Kehrli. Id. Because Dr. Morris's conclusion was at  
22 odds with the evidence as a whole, and was not supported by  
23 appropriate diagnostic findings, the ALJ concluded that Dr.  
24 Morris's opinion that plaintiff is impaired to an extent consistent  
25 with disability had to be discounted. Id. Moreover, the ALJ  
26 explained, Dr. Morris's statement that plaintiff was disabled  
27 involved a vocational judgment not within Dr. Morris's expertise.  
28 Id.

Based upon his RFC, the ALJ found that plaintiff was able to perform a reduced, but significant, range of light work. Tr. 27, 29. Based on the VE's testimony, the ALJ determined that plaintiff's RFC was consistent with plaintiff's past relevant work as a case manager or teacher's aide. *Id.* Alternatively, the ALJ determined that plaintiff was able to perform other jobs in the national economy such as small products assembler, electronics worker, and laundry folder. Tr. 28, 29. Accordingly, the ALJ determined that plaintiff was not disabled. *Id.*

## STANDARD OF REVIEW & SEQUENTIAL EVALUATION

A claimant is disabled if unable to "engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment which . . . has lasted or can be expected to last for a continuous period of not less than 12 months[.]" 42 U.S.C. § 423(d) (1) (A). Disability claims are evaluated according to a five-step procedure. Baxter v. Sullivan, 923 F.2d 1391, 1395 (9th Cir. 1991). The claimant bears the burden of proving disability. Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. 1989). First, the Commissioner determines whether a claimant is engaged in "substantial gainful activity." If so, the claimant is not disabled. Bowen v. Yuckert, 482 U.S. 137, 140 (1987); 20 C.F.R. §§ 404.1520(b), 416.920(b). In step two, the Commissioner determines whether the claimant has a "medically severe impairment or combination of impairments." Yuckert, 482 U.S. at 140-41; see 20 C.F.R. §§ 404.1520(c), 416.920(c). If not, the claimant is not disabled.

In step three, the Commissioner determines whether the impairment meets or equals "one of a number of listed impairments

1 that the [Commissioner] acknowledges are so severe as to preclude  
2 substantial gainful activity." Yuckert, 482 U.S. at 141; see 20  
3 C.F.R. §§ 404.1520(d), 416.920(d). If so, the claimant is  
4 conclusively presumed disabled; if not, the Commissioner proceeds  
5 to step four. Yuckert, 482 U.S. at 141.

6 In step four the Commissioner determines whether the claimant  
7 can still perform "past relevant work." 20 C.F.R. §§ 404.1520(e),  
8 416.920(e). If the claimant can, he is not disabled. If he cannot  
9 perform past relevant work, the burden shifts to the Commissioner.  
10 In step five, the Commissioner must establish that the claimant can  
11 perform other work. Yuckert, 482 U.S. at 141-42; see 20 C.F.R. §§  
12 404.1520(e) & (f), 416.920(e) & (f). If the Commissioner meets its  
13 burden and proves that the claimant is able to perform other work  
14 which exists in the national economy, he is not disabled. 20  
15 C.F.R. §§ 404.1566, 416.966.

16 The court may set aside the Commissioner's denial of benefits  
17 only when the Commissioner's findings are based on legal error or  
18 are not supported by substantial evidence in the record as a whole.  
19 Baxter, 923 F.2d at 1394. Substantial evidence means "more than a  
20 mere scintilla," but "less than a preponderance." Id. It means  
21 such relevant evidence as a reasonable mind might accept as  
22 adequate to support a conclusion. Id.

23 DISCUSSION

24 Plaintiff argues that the ALJ failed to give clear and  
25 convincing reasons for rejecting her testimony, that the ALJ erred  
26 in rejecting the opinions of Dr. Sakakihara, Dr. Siepert, and Dr.  
27 Morris, and that the ALJ erred in his consideration of the lay  
28 testimony of plaintiff's husband.

1 I. Medical Opinions

2       In social security disability cases, as a general rule, "more  
 3 weight should be given to the opinion of a treating source than to  
 4 the opinion of doctors who do not treat the claimant." Lester v.  
5 Chater, 81 F.3d 821, 830 (9th Cir. 1995). If the treating  
 6 physician's opinion is uncontradicted by another physician, it may  
 7 be rejected only for clear and convincing reasons. Id. If the  
 8 treating physician's opinion is contradicted, it may be rejected  
 9 for "specific and legitimate reasons" supported by substantial  
 10 evidence in the record. Id. The opinions of examining physicians,  
 11 those who examine but do not treat, are governed by the same  
 12 standards. Id. at 830-31.

13       Additionally, while "a treating physician's opinion is  
 14 generally afforded the greatest weight in disability cases, it is  
 15 not binding on an ALJ with respect to the existence of an  
 16 impairment or the ultimate determination of disability." Ukolov v.  
17 Barnhart, 420 F.3d 1002, 1004 (9th Cir. 2005) (internal quotation  
 18 omitted). The same is true for an examining physician's opinion  
 19 with respect to the ultimate determination of disability. See 20  
 20 C.F.R. § 404.1527(e)(1) ("We are responsible for making the  
 21 determination or decision about whether you meet the statutory  
 22 definition of disability. . . . A statement by a medical source  
 23 that you are 'disabled' or 'unable to work' does not mean that we  
 24 will determine that you are disabled.").

25       A. Dr. Sakakihara

26       Plaintiff contends that the ALJ improperly rejected Dr.  
 27 Sakakihara's October 13, 2002 letter opining that plaintiff could  
 28 not work full-time by the end of 1997. Tr. 313-14. As noted

1 above, the ALJ explained that at the time Dr. Sakakihara wrote the  
2 letter, plaintiff had not been his patient for more than five  
3 years, depriving Dr. Sakakihara of the opportunity to assess her  
4 credibility over the subsequent years. The ALJ also noted that  
5 several of the alleged impairments noted by Dr. Sakakihara were not  
6 significant or were not medically documented.

7 For example, although Dr. Sakakihara opined that plaintiff  
8 could not work full-time, the medical records from the time period  
9 when he was actually treating her through August 1997, show that in  
10 his opinion, she needed certain accommodations to be able to  
11 function in her position, and that she should be excused from  
12 conferences lasting more than one day and jury duty. Tr. 315-16,  
13 324. At the relevant time, Dr. Sakakihara indicated that  
14 plaintiff's alleged impairments required accommodation, but he did  
15 not preclude her from full-time work. Thus, the record supports  
16 the ALJ's conclusion that Dr. Sakakihara's 2002 opinion regarding  
17 plaintiff's abilities in 1997 was not supported by the relevant,  
18 contemporaneous medical documentation. The ALJ provided a specific  
19 and legitimate basis supported by substantial evidence in the  
20 record for discounting Dr. Sakakihara's opinion. See Weetman v.  
21 Sullivan, 877 F.2d 20, 23 (9th Cir. 1989) (ALJ properly rejected  
22 treating physician's later-obtained opinion regarding plaintiff's  
23 "total disability" when it was inconsistent with medical notes the  
24 physician made during examinations conducted during the relevant  
25 time period).

26       B. Dr. Siepert

27       As noted above, Dr. Siepert, the podiatrist, limited plaintiff  
28 to lifting five pounds and to walking and standing for only two to

1 three hours in an eight-hour workday. As further noted above, the  
2 ALJ rejected Dr. Siepert's opinion because Dr. Siepert had seen  
3 plaintiff only once and had accepted plaintiff's subjective history  
4 of continuing foot difficulties, which the ALJ found inconsistent  
5 with the overall evidence, and because Dr. Siepert did not describe  
6 any significant signs and findings beyond plaintiff's subjective  
7 reports of pain and tenderness.

8 Plaintiff contends that the ALJ erred in rejecting Dr.  
9 Siepert's opinion because although he examined plaintiff only once,  
10 there is no reason to question the quality of his examination or  
11 the conclusions he reached, and, more importantly, he based his  
12 opinion on his examination which revealed numerous objective  
13 findings.

14 Most of the approximately sixteen or more objective findings  
15 based on Dr. Siepert's August 2003 physical examination, were  
16 unremarkable. The "non-normal" findings were either based on  
17 plaintiff's subjective complaint of pain (e.g. plantar fascial  
18 tissue pain, heel pain upon palpation at scar), or do not appear to  
19 provide a basis for Dr. Siepert's restrictive limitations on  
20 standing and walking or lifting (hypermobility of subtalar and  
21 midtarsal joints, apropulsive gait cycle, and a foot which appears  
22 to turn out at the heel and turn in at the forefoot (calcaneal  
23 valgus with flexible forefoot varus)).

24 Notably, Dr. Siepert's January 19, 2004 letter gave as the  
25 bases for his opinion only plaintiff's history of generalized foot  
26 pain of at least ten years and plaintiff's own complaint that she  
27 is unable to do any extensive walking or standing without intense  
28 pain and discomfort. Tr. 431. No objective findings are noted.

1 Since Dr. Siepert did not treat plaintiff other than a one-time  
2 visit in August 2003, he has no personal knowledge of her history  
3 of foot pain. Thus, his January 2004 opinion is based entirely on  
4 plaintiff's own report to him at the August 2003 visit.

5 Length of the treatment relationship and frequency of the  
6 treating practitioner's examination are relevant considerations in  
7 determining the weight to be given to a treating physician's  
8 opinion. 20 C.F.R. § 404.1527(d). Additionally, an ALJ may reject  
9 a treating physician's opinion when it is based on a claimant's  
10 subjective reports of pain. Batson v. Commissioner, 359 F.3d 1190,  
11 1194-95 (9th Cir. 2003). Here, the ALJ gave specific and  
12 legitimate reasons, supported by substantial evidence in the  
13 record, for rejecting Dr. Siepert's opinion.

14 C. Dr. Morris

15 Dr. Morris, an examining pain specialist, opined in January  
16 2004 that plaintiff had been "completely disabled" since five or  
17 six years ago, meaning since 1998 or 1999. Tr. 317-23. As noted  
18 above, the ALJ rejected Dr. Morris's opinion because it was  
19 rendered after only a single examination which precluded a full  
20 evaluation of plaintiff's subjective symptoms, because of a lack  
21 of objective findings other than the presence of fibromyalgia  
22 trigger points, because it was inconsistent with the records of  
23 treating physician Dr. Wheeler and the opinion of non-examining  
24 physician Dr. Kehrli, and because his statement that plaintiff was  
25 disabled was a vocational judgment not within Dr. Morris's  
26 expertise.

27 Plaintiff argues that the ALJ erred in rejecting Dr. Morris's  
28 opinion because he in fact found 18 out of 18 positive tender

1 points while control points were negative and thus, he did not rely  
2 solely upon plaintiff's reports to him. Moreover, she argues, his  
3 diagnosis of fibromyalgia is consistent with Dr. Wheeler's  
4 diagnosis and Dr. Wheeler's noting the presence of trigger points.

5 Plaintiff confuses the diagnosis of an impairment with the  
6 functional loss caused by that impairment. The ALJ did not reject  
7 Dr. Morris's diagnosis of fibromyalgia. Plaintiff correctly notes  
8 that Dr. Morris's diagnosis is consistent with that of treating  
9 physician Dr. Wheeler.

10 What the ALJ rejected, however, was Dr. Morris's opinion that  
11 plaintiff had been totally disabled by her impairment for the  
12 previous five or six years and it is on this point that the ALJ  
13 correctly noted that Dr. Morris relied on plaintiff's subjective  
14 reports at face value. Moreover, as with Dr. Siepert, the ALJ  
15 noted that Dr. Morris had examined plaintiff only once. This fact  
16 is a proper consideration in determining the weight given to a  
17 medical opinion. 20 C.F.R. § 404.1527.

18 As the ALJ noted, other than the positive trigger points, Dr.  
19 Morris's objective findings were insignificant. Despite the  
20 trigger points, plaintiff still had full range of motion in all  
21 upper and lower extremities as well as her shoulders, back, and  
22 neck. Tr. 320. Her Pain Presentation Inventory administered in  
23 Dr. Morris's office was non-diagnostic, with elevations in the non-  
24 organic, atypical scales. Id. Despite her complaints of 10 out of  
25 10 pain, she generally treated her pain with Advil and Vioxx.  
26 Given Dr. Morris's own evaluation and findings, it was not error  
27 for the ALJ to conclude that Dr. Morris's examination did not  
28 establish complete disability.

1 Furthermore, although a fibromyalgia diagnosis may have been  
 2 consistent with the diagnoses rendered by other physicians,  
 3 including treating physicians Dr. Wheeler, Dr. Agsten, and Dr.  
 4 Bolduc, Dr. Morris's "complete disability" conclusion is in  
 5 conflict with the records and opinions of those physicians. Dr.  
 6 Wheeler noted several times during the course of treatment that  
 7 plaintiff had good range of motion and no synovitis. Tr. 258, 259,  
 8 260.<sup>3</sup> In August 2000, he opined that plaintiff showed "a moderate  
 9 amount of chronic pain behavior." Tr. 260. When he examined her  
 10 in September 2001, he remarked that she had "done fairly well"  
 11 since her last visit. Tr. 259. In February 2002, he again noted  
 12 that she demonstrated "a moderate amount of chronic pain behavior."  
 13 Tr. 258. He noted that her "physical findings are disproportionate  
 14 to the level of pain." Id.

15 Treatment notes from both Dr. Agsten and Dr. Bolduc refer to  
 16 plaintiff as being in no acute distress, even in the face of  
 17 plaintiff's subjective reports of pain. E.g., Tr. 379, 393, 394,  
 18 404; see also Tr. 348 (Dr. Bolduc noted that plaintiff's  
 19 fibromyalgia was stable). Thus, the record supports the ALJ's  
 20 conclusion that Dr. Morris's disability opinion was contradicted by  
 21 opinions of treating physicians whom plaintiff saw more frequently  
 22 and over a longer period of time.

23 Last, and significantly, Dr. Morris's opinion of plaintiff's  
 24

25 <sup>3</sup> Synovitis is "[i]nflammation of a synovial membrane." Taber's Cyclopedic Med. Dict. 2029 (19th ed. 19997); see also Stedman's Online Med. Dict.

26  
 27 <http://www.stedmans.com/section.cfm/45> (defining synovitis as an  
 28 "[i]nflammation of a synovial membrane, especially that of a joint; in general, when unqualified, the same as arthritis.").

1 condition sometime in 1998 or 1999, does not address her condition  
2 at the date of alleged onset in September 1997, nor the December  
3 31, 1997 date of her last insurance.

4 The ALJ provided specific and legitimate reasons, supported by  
5 substantial evidence in the record, for rejecting Dr. Morris's  
6 opinion.

7 II. Plaintiff's Testimony

8 The ALJ is responsible for determining credibility. Andrews  
9 v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995). Once a claimant  
10 shows an underlying impairment and a causal relationship between  
11 the impairment and some level of symptoms, clear and convincing  
12 reasons are needed to reject a claimant's testimony if there is no  
13 evidence of malingering. Smolen v. Chater, 80 F.3d 1273, 1281-82  
14 (9th Cir. 1996). When determining the credibility of a plaintiff's  
15 complaints of pain, the ALJ may properly consider several factors,  
16 including the plaintiff's daily activities, inconsistencies in  
17 testimony, effectiveness or adverse side effects of any pain  
18 medication, and relevant character evidence. Orteza v. Shalala, 50  
19 F.3d 748, 750 (9th Cir. 1995). The ALJ may also consider the  
20 ability to perform household chores, the lack of any side effects  
21 from prescribed medications, and the unexplained absence of  
22 treatment for excessive pain when determining whether a claimant's  
23 complaints of pain are exaggerated. Id.

24 Here, the ALJ concluded that many of plaintiff's allegations  
25 of functional loss were not supported by signs or findings which  
26 could reasonably be expected to produce her symptoms. Tr. 25.  
27 Although the ALJ may not rely solely on a lack of objective medical  
28 evidence to find a claimant not credible, it may be considered

1 among other factors in the credibility analysis. Burch v.  
 2 Barnhart, 400 F.3d 676, 681 (9th Cir. 2005).

3 The ALJ noted that plaintiff's reports of joint pain  
 4 throughout her body, including pain in her hands, legs, back, and  
 5 arms, and swelling in her feet, ankles, calves, thighs, and  
 6 fingers, which she reported as constant, were not supported by the  
 7 medical record which repeatedly found her joints to be free of  
 8 positive signs and findings. Tr. 24. The ALJ specifically  
 9 referred to Dr. Wheeler's chart notes finding plaintiff's motion  
 10 intact in all joints, good range of motion in her joints, and  
 11 joints free of synovitis. Tr. 24. The ALJ also referred to Dr.  
 12 Morris's report showing good range of motion of all extremities, as  
 13 well as the neck, shoulders, and back. Tr. 25.

14 The ALJ also noted that plaintiff's report of dropping items  
 15 was unsupported by any findings of impairment related to her hands.  
 16 Id. Likewise, there was no explanation for her complaints of  
 17 constant falls. Id.

18 Given the objective medical evidence, the ALJ did not err in  
 19 concluding that many of plaintiff's allegations of functional loss  
 20 were unsupported by signs or findings of impairments which could  
 21 reasonably be expected to produce symptoms of plaintiff's claimed  
 22 severity and frequency.

23 As to the fibromyalgia, the ALJ concluded that plaintiff  
 24 overstated her symptoms. Id. Here, the ALJ relied on comments by  
 25 treating physician Dr. Wheeler to the effect that plaintiff  
 26 exhibited pain behavior and had pain levels disproportionate to the  
 27 physical findings. Id. The ALJ further noted that her seeking of  
 28 treatment for fibromyalgia, as opposed to other impairments or

1 diseases, was not particularly compelling and was inconsistent with  
2 someone who alleged severe, chronic pain. Tr. 25-26.

3 The ALJ's conclusion that plaintiff exaggerated the extent of  
4 her musculoskeletal pain is supported in the record. Plaintiff's  
5 treating physician Dr. Wheeler, whom she saw specifically for  
6 treatment of her fibromyalgia, commented on her pain behavior more  
7 than once. Tr. 258, 260. Even though he was her primary physician  
8 for the treatment of this impairment, she saw him only  
9 occasionally, in August 2000, September 2001, November 2001, and  
10 February 2002. Tr. 258-60.

11 In her visits with other primary care physicians, her chief  
12 complaint was often not fibromyalgia, but some other illness or  
13 impairment. E.g., Tr. 388 (May 17, 2001 visit to Dr. Agsten for  
14 follow-up after earlier gastrointestinal disorder hospitalization);  
15 396 (March 22, 2001 visit to Dr. Agsten to establish care and to  
16 follow up on February 2001 gastrointestinal-related  
17 hospitalization); 394 (April 18, 2001 visit to Dr. Agsten to follow  
18 up on lab results); 381 (September 6, 2001 visit to Dr. Agsten to  
19 follow up on a previous week's urgent care visit complaining of  
20 dizziness); 379 (May 30, 2002 visit to Dr. Bolduc to establish care  
21 and complain of hand shakiness); 370 (September 16, 2002 visit to  
22 Dr. Bolduc to complain of blood in urine); 367 (November 4, 2002  
23 visit to Dr. Bolduc to complain of left index finger pain); 362  
24 (January 27, 2003 visit to Dr. Bolduc to follow up on Dr.  
25 Engstrom's test results). The ALJ accurately recited the evidence  
26 and was entitled to draw a conclusion that it did not support  
27 plaintiff's complaints of severe and debilitating pain.

28 Finally, the ALJ noted that plaintiff's subjective testimony

1 was belied by her activities of daily living. Although the mere  
2 fact that a claimant is able to carry on certain activities while  
3 complaining of pain, is not, by itself, determinative of a  
4 claimant's lack of credibility, Vertigan v. Halter, 260 F.3d 1044,  
5 1050 (9th Cir. 2001), it is still a factor in the overall  
6 credibility evaluation.

7 The ALJ noted that plaintiff continued to assist with chores,  
8 including paying bills and shopping. He noted that she engaged in  
9 recreations including reading and watching television, as well as  
10 some gardening. He additionally noted that she went camping.  
11 Finally, he mentioned that immediately preceding her February 2001  
12 hospitalization for a gastrointestinal illness, she had been  
13 volunteering at the mall. The evidence supports the ALJ's  
14 determination that her activities are "more suggestive of an  
15 individual who is limited to light work [rather than] one who has  
16 constant severe pain." Tr. 26.

17 In summary, the ALJ relied on what he determined were  
18 activities of daily living inconsistent with plaintiff's subjective  
19 complaints of pain, the fact that the objective medical evidence  
20 did not support many of the alleged functional losses, and the  
21 determination that plaintiff exaggerated her symptoms related to  
22 fibromyalgia, as the bases for rejecting her testimony. The ALJ  
23 cited to specific portions of the record in support of each reason  
24 given to discount plaintiff's credibility. The record supports the  
25 ALJ's conclusions.

26 III. Lay Testimony

27 As a lay witness, plaintiff's husband is not competent to  
28 testify to medical diagnoses, but is competent to testify as to

1 plaintiff's symptoms or how an impairment affects her ability to  
2 work. Nguyen v. Chater, 100 F.3d 1462, 1467 (9th Cir. 1996). The  
3 ALJ may disregard a lay witness's testimony by offering reasons  
4 germane to the witness. Dodrill v. Shalala, 12 F.3d 915, 919 (9th  
5 Cir. 1993).

6 As noted by the ALJ, Schwesinger stated that plaintiff  
7 shopped, visited with others, dined out, gardened, camped, worked  
8 on her computer, cooked, did some household chores, and cared for  
9 her numerous pets. Tr. 20, 102-09. He also testified that she  
10 dropped objects, occasionally needed to use a wheelchair, had  
11 difficulty standing, and needed to rest sporadically throughout the  
12 day. Tr. 109, 515-16.

13 The ALJ discounted Schwesinger's testimony for the same  
14 reasons that he rejected plaintiff's subjective testimony. Tr. 27.  
15 Thus, he relied on conflicts between plaintiff's activities of  
16 daily living as described by Schwesinger's and plaintiff's  
17 allegations of disabling pain, the lack of objective medical  
18 evidence supporting some of plaintiff's complaints, and  
19 exaggerations of functional limitations.

20 If the lay testimony conflicts with medical evidence, the ALJ  
21 may reject it. Bayliss v. Barnhart, 427 F.3d 1211, 1218 (9th Cir.  
22 2005). Here, for the same reasons explained above in connection  
23 with the rejection of plaintiff's testimony, the medical evidence  
24 does not support many of plaintiff's complaints and thus, the  
25 conflict between the medical evidence and plaintiff's subjective  
26 testimony not only undermines plaintiff's credibility, it provides  
27 a legitimate reason for the ALJ to reject similar lay testimony as  
28 well.

Additionally, if an ALJ may properly reject a claimant's testimony because it is inconsistent with the claimant's daily activities, the ALJ may certainly reject a lay witness's testimony on the same basis, especially when the witness's testimony depends so heavily on the claimant's subjective complaints which have been properly rejected or discounted. Thus, the ALJ did not err by discounting Schwesinger's testimony based on a conflict between plaintiff's activities as stated by Schwesinger and his description of her functional limitations.

## CONCLUSION

I recommend that the Commissioner's decision be affirmed and that this case be dismissed.

## SCHEDULING ORDER

The above Findings and Recommendation will be referred to a United States District Judge for review. Objections, if any, are due April 4, 2006. If no objections are filed, review of the Findings and Recommendation will go under advisement on that date.

If objections are filed, a response to the objections is due April 18, 2006, and the review of the Findings and Recommendation will go under advisement on that date.

IT IS SO ORDERED.

Dated this 20th day of March, 2006.

/s/ Dennis James Hubel  
Dennis James Hubel  
United States Magistrate Judge